

FIRST SCHEDULE .

Form.	
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VI	Application for a mining lease.
VII	Application for consent to transfer/transfer of a share in exploration /retention /location licence/ mining lease.
VIII	Application for a certificate of amalgamation of location licences/mining leases.
IX	Application for a certificate of cessation/ suspension/curtailment of working obligations under exploration/location licence/mining lease.
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FORM I.
Reg. 3.

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR A PROSPECTING LICENCE.

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY
AND MINES DEPARTMENT (or authorized officer).

1. Name of applicant in full.
Nationality of applicant.
Age of applicant.
2. Address in Uganda at which notices, etc. may be served.
3. Whether the applicant intends to prospect on his or her own account or on behalf of a person.
4. a) If applying on behalf of a person, then the name and address of such person.
b) If a corporate entity then attach a certified copy of a certificate of incorporation and Articles and Memorandum of Association.

NOTE- a written authority for making this application must be attached to this form.

5. (a) Whether the applicant has previously made an application for any prospecting licence.
(b) If so, whether any such application was refused.
6. Whether the applicant has previously held any mineral right, authorizing prospecting, exploration or mining in Uganda, which was expired, cancelled, revoked or forfeited.
7. Whether the applicant or the person referred to in 3 above has been convicted of any offence in connection with prospecting, exploration, mining, explosives, or the employment of labour or involving dishonesty or fraud.
8. Whether the applicant holds in his or her own name or as agent for a person a prospecting licence that is still valid.
9. The part of Uganda in which the applicant intends to prospect

I certify that the particulars given by me above are true to the best of my knowledge and belief.

Dated thisday ofyear 20

.....
Signature of Applicant.

Where the applicant applies on behalf of another person, that person or in the case of a company, a Director of that company shall answer the following questions and sign the following certificate and undertaking –

I certify that the particulars given by me above are true to the best of my knowledge and belief.

I agree that in event that the prospecting licence applied for is granted, I shall be responsible, and should be held liable, for—

- (a) the discharge of any obligation of the holder under the licence;
- (b) any sanction or penalty arising from any act or omission of the holder under the licence, where the holder fails to discharge any such obligation or sanction or defaults in the payment of any such penalty.

Dated this..... day ofyear 20.....

.....
*Signature of Principal
(Corporation Seal in case of corporations)*

1. Name of person in full.
2. Nationality of person.
3. (a) Whether the person has previously held any right, authorizing prospecting, exploration or mining in Uganda, which was cancelled or revoked or forfeited.
(b) If so, state particulars.
4. Whether the person has been convicted of any offence in connection with prospecting, exploration, mining, explosives, or the employment of labour or involving dishonesty or fraud.
5. In the event of the answer to question 3 being in the affirmative state whether the Commissioner has consented to the grant to you or to an agent on your behalf of a prospecting licence since the last such previous revocation, cancellation or forfeiture or conviction as set out in answer to questions 3 and 4

I certify that the applicant has paid a fee of Shs.

Vide receipt No.....datedyear 20....

Place of issue.....

This day of..... year 20

.....
*Commissioner for the Geological Survey
and Mines Department.*

NOTE— The applicant shall produce for cancellation any prospecting licence which he/she may hold and which the approval of this application will render invalid.

FORM II.
Reg. 11.

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR AN EXPLORATION LICENCE.
(Accompanied by map of the area applied for, a work programme for the period of licence and the first year's mineral rent and fees)

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES DEPARTMENT,

Through The CHIEF ADMINISTRATIVE OFFICER (CAO) of
.....District

Date and hour of receipt at CAO's office.....

.....
*Signature and stamp of CAO or
his or her authorized officer.*

1. Name of applicant in full.
Nationality of person.
Address in Uganda at which notices, etc., may be served
2. Name of person (if any),whom the applicant represents and position or appointment held by the applicant with respect to such person.
3. Number and date of grant of prospecting licence.
No.
Date of grant.....
4. Name and nationality of directors of corporate entity (if applicable)
5. Amount of funds committed for exploration
6. If a certified copy of the Memorandum and Articles of Association of the corporate entity is not already lodged with the Commissioner, a copy must be enclosed herewith.
7. Date and hour of erection of—
 - (a) Location beacon:
 - (b) Corner beacons:
 - (c) Direction beacons:
 - (d) Boundary beacons:
8. Approximate area (in square kilometers) applied for.
9. Minerals which applicant has discovered in the area and for which he or she desires to prospect.
10. Statement of geographical position of the area and its position in regard to some town, village or river crossing or junction which is shown on the latest edition of the map of the district in which the area applied for is situated.

11. Have you been submitting returns of your prospecting operations to the Commissioner as required under applicable Mining Regulations?
12. Are you in a position to comply with section 112(1) of the Act as regards environment performance bond, and if so, state whether by cash payment or bankers' guarantee.
13. Name of witness to erection of location beacon and other beacons. (As a safeguard in case of disputes, it is desirable to have a reliable witness to the erection of beacons).
 Name of witness

 Signature or thumbprint
 Address.....
14. Were there any beacons belonging to other prospectors in existence at the date on which you erected your beacons purported to mark out any of the area you now apply for?
 Have you shown on your map attached to this application other beacons bordering on the area you now apply for?
15. Name of person who will be resident on the area if this application is granted and who is qualified to comply with the requirements of the applicable Mining Regulations.

I HEREBY CERTIFY that beacons have been erected under my personal supervision in accordance with requirements of the applicable Mining Regulations to define the area over which I hereby apply for a licence and that such beacons are placed to the best of my ability at the marks as shown by the letters LB, CB1, CB2, CB3, etc. on the map attached, which map, signed by me, shows the area for which I am applying. I agree that in the event of the area marked by me on the ground being proved to differ, either before or after the grant of the licence, from the area shown on the map signed by me and attached to this application (in five copies) then such adjustment of the area (or amendment of the map) as shall be deemed expedient by the Commissioner shall be made by the Commissioner at my expense.

Dated this day of, year 20

.....
Signature of Applicant.

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES DEPARTMENT.

Forwarded with the following comments

.....

.....

Dated this day ofyear 20.....

.....
Chief Administrative Officer or his or her authorised officer.

THE MINING ACT.

THE MINING REGULATIONS.

APPLICATION FOR A RETENTION LICENCE.

(Accompanied by requirements under subsection (2) of section 35 of the Act, map of the area applied for, a work programme for the period of licence and the first year's mineral rent and fees)

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT,

Through the CHIEF ADMINISTRATIVE OFFICER (CAO) of
.....District.

Date and hour of receipt at CAO's Office.....

.....
Signature and stamp of CAO or his or her authorised officer.

1. Name of applicant in full.
Nationality of person.
Address in Uganda at which notices, etc., may be served
2. Name of person (if any), whom or which the applicant represents and position or appointment held by the applicant with respect to such person.
3. Number and date of grant of exploration licence.
No.
Date of grant.....
4. Name and nationality of directors of corporate entity (if applicable).
5. Amount of funds committed for exploration.
6. Date and hour of erection of—
 - (a) Location beacon:
 - (b) Corner beacons:
 - (c) Direction beacons:
 - (d) Boundary beacons:
7. Approximate area (in square kilometers) applied for.
8. Quantity and grade of any mineral deposit the applicant has identified within the exploration area which is potentially of commercial significance.
9. Statement of geographical position of the area and its position in regard to some town, village or river crossing or junction which is shown on the latest edition of the map of the district in which the area applied for is situated.

No.

Date of grant.....

10. Have you been submitting returns of your prospecting operations to the Commissioner as required under the Mining Regulations?

11. Are you in a position to comply with section 112(1) of the Act as regards environment performance bond, and if so, state whether by cash payment or bankers' guarantee.

12. Name of witness to erection of location beacon and other beacons. (As a safeguard in case of disputes, it is desirable to have a reliable witness to the erection of beacons).

Name of witness...

Signature

or thumbprint

Address.....

13. Have you shown on your map attached to this application other beacons bordering on the area you now apply for?

14. Name of person who will be resident in the area if this application is granted and who is qualified to comply with the requirements of the applicable Mining Regulations.

I HEREBY CERTIFY that beacons have been erected under my personal supervision in accordance with requirements of the applicable Mining Regulations to define the area over which I hereby apply for a licence and that such beacons are placed to the best of my ability at the marks as shown by the letters LB, CB1, CB2, CB3, etc. on the map attached, which map, signed by me, shows the area for which I am applying. I agree that in the event of the area marked by me on the ground being proved to differ, either before or after the grant of the licence, from the area shown on the map signed by me and attached to this application (in 5 copies), then such adjustment of the area (or amendment of the map) as shall be deemed expedient by the Commissioner shall be made by the Commissioner at my expense.

Dated this day of, year 20

.....
Signature of applicant.

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES DEPARTMENT.

Forwarded with the following comments

.....

.....

Dated this day ofyear 20.....

.....
Chief Administrative Officer or his or her authorised officer.

FORM IV.
Reg. 23(1).

THE MINING ACT.

THE MINING REGULATIONS.

APPLICATION FOR A LOCATION LICENCE OTHER THAN CLASS V LOCATION
LICENCE.

(Accompanied by map of the area applied for, the first year's mineral rent and fees)

To: The COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT,

Through the CHIEF ADMINISTRATIVE OFFICER (CAO) of.....
District.

Date and hour of receipt at COA's office

.....
Signature and stamp of CAO or his or her authorised officer.

1. Name of applicant in full.
Nationality of applicant.
Address of Applicant
2. Name of person (if any), whom or which the applicant represents and position or appointment held by the applicant with respect to such person.
3. Number and date of grant of prospecting or exploration licence.
No.
Date of grant.....
4. Registration numbers of any locations held directly or indirectly by applicant.
5. Class of the type of location licence under regulation 28 applied for.
6. Mineral(s) which applicant intends to mine.
7. Date and hour when location beacon was erected.
8. Date and hour when other beacons were erected.
CB1:
CB2:
CB3:

9. Measurements of location licence area in metres as pegged and measured by applicant.

Length

Breadth

Magnetic bearing of the long side adjacent to L.B.

10. Locality (village, parish and sub county).

11. Name of witness to erection of location beacon and other beacons.(As a safeguard in case of disputes it is desirable to have a reliable witness to the erection of beacons).

Name of witness

Signature

or thumbprint.....

Address

12. Have you been submitting returns of your prospecting or exploration operations to the Commissioner as required under the Mining Regulations?

13. Were there any beacons belonging to other holders of prospecting licence existing at the date of erection of your location beacon that purported to mark out any of the area you now apply for?

14. Name of person who will be resident in area applied for if this application is granted and who is qualified to comply with requirements of the applicable Mining Regulations.

I attach to this application (in 5 copies) signed by me a–

(i) map on scale 1: 50,000 from the available Government map showing the position of the location licence area concerned;

(ii) sketch map showing–

(a) the dimensions, in metres, of the location licence area;

(b) the position of any adjacent mining area, if any, within a radius of half a kilometre from the area to which this application relates;

(c) the approximate positions of any beacons belonging to other prospecting licence holders and purporting to mark out any of the areas where I have erected my location beacon; and

(d) the approximate position of any excavations existing on the location licence area prior to my marking out the area by pegging it;

(iii) statement giving particulars of the nature of the mining operations proposed to be carried out, the capital and experience available to conduct prospecting and mining operations.

I HEREBY CERTIFY that under my personal supervision the above mentioned beacons have been erected and the long grass, bush and brushwood cleared in accordance with requirements of the applicable Mining Regulations.

Dated this day of, year 20

.....
Signature of Applicant.

TO: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

Forwarded with the following comments.....

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.....

Dated thisday of, year 20.....

.....
Chief Administrative Officer or his or her Authorised Officer.

FORM V.

Reg. 23 (1).

THE MINING ACT.

THE MINING REGULATIONS.

APPLICATION FOR A *CLASS V OR CLASS VII LOCATION LICENCE.

(Accompanied by map of the area applied for, the first year's mineral rent and fees)

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT,

Through the CHIEF ADMINISTRATIVE OFFICER (CAO) of
.....District.

Date and hour of receipt at CAO's Office.....

.....
Signature and stamp of CAO or his or her authorised Officer.

1. Name of applicant in full.
Nationality of applicant.
Address of Applicant
2. Name of person (if any), whom or which the applicant represents and position or appointment held by the applicant with respect to such person.
3. Number and date of grant of prospecting or exploration licence.
No.
Date of grant.....
4. The alluvial minerals in respect of which the location licence is required.
5. Date and hour when lower beacon was erected.
6. Date and hour when upper beacon was erected.
7. Measurements of the location licence area in meters.
Required length
- Required width on each side of the center line.....
8. Whether river or swamp location licence is applied, state the name of the river or swamp.
9. Name of witness to erection of lower and upper beacons. (As a safeguard in case of disputes it is desirable to have a reliable witness to the erection of beacons).
Name of witness.....
Signature or thumbprint.....

Address

- 10. Have you been submitting returns of your prospecting or exploration operations to the Commissioner as required under Mining Regulations? If so provide proof.
- 11. Is the lower beacon tied by traverse to any fixed survey point or fixed topographical details?
- 12. Name of person who will be resident in the area if this application is granted and who is qualified to comply with requirements of the applicable Mining Regulations.

I attach to this application (in 5 copies) signed by me –

- (i) a copy of permission granted by the responsible Ministry through NEMA to work in a wetland or on a river bank;
- (ii) a map showing measurements along the river or swamp commencing at the lower beacon;
- (iii) a sketch map showing the position of the area of Class V and Class VII location licence as required by applicable Mining Regulations.

I HEREBY DECLARE that under my personal supervision the above mentioned lower and upper beacons were erected in accordance with requirements of the applicable Mining Regulations, and measurements taken along the length of the river or swamp as shown on the attached map.

Dated this..... day of year 20.....

.....
Signature of Applicant.

TO: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES DEPARTMENT.

Forwarded with the following comments

.....
.....

Dated this..... day of, year 20

.....
*Chief Administrative Officer or his or her
Authorised Officer.*

*Delete whichever is inapplicable.

FORM VI.
Reg. 36, 37 and 38.

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR A MINING LEASE.
*(Accompanied by mine plan, feasibility study, first year's
mineral rent and fees)*

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT,

Through the CHIEF ADMINISTRATIVE OFFICER (CAO) of
.....District.

Date and hour of receipt at CAO's Office.....

.....
*Signature and stamp of CAO or his or her
Authorised Officer.*

1. Name of applicant in full.
Nationality of applicant.
Address in Uganda at which notices, etc, may be served.
2. Name of the person whom or which the applicant represents and the position or appointment held by the applicant with respect to that person.
3. Number and the date of grant of relevant mineral right.
No.
Date of grant.
4. Name and nationality of directors of corporate entity (where applicable).
5. Amount of funds committed for mining operations.
6. If a certified copy of the Memorandum and Articles of Association of the corporate entity is not already lodged with the Commissioner, a copy must be enclosed herewith.

7. Date and hour of erection of—
 - (a) Location beacon:
 - (b) Corner beacons:
 - (c) Direction beacons:
 - (d) Boundary beacons:

8. Name of witness to erection of lower and upper beacons. (As a safeguard in case of disputes it is desirable to have a reliable witness to the erection of beacons).
 Name of witness
 Signature or thumbprint...
 Address
9. Have you been submitting returns of your prospecting or exploration operations to the Commissioner as required under Mining Regulations? If so provide proof.

10. Approximate area in hectares.

11. Mineral(s) which applicant intends to mine

12. Period for which lease is sought.

13. Statement of geographical position in regard to some town, village or river crossing or junction shown on the latest edition of the map of the district in which the area applied for is situated.

14. Name of person who will be resident in the area if this application is granted and who is be qualified to comply with requirements of the applicable Mining Regulations.

I attach to this application (in five copies) a—

- (i) Government map or tracing thereof, signed by me and giving the information as required under regulations 38 of the Mining Regulations;
- (ii) map signed by me on a scale of 1: 10,000 of the proposed mining area as required under regulation 38 of the Mining Regulations;
- (iii) statement as to the number of land owners or lawful occupiers of land in the area of the proposed lease as required under regulation 38 of the Mining Regulations;
- (iv) statement as to any natural water supplies in the area of the proposed lease, any existing usage of such natural water supplies and any proposed use of the water;

- (v) certificate of approval of Environmental Impact Assessment by NEMA; and

- (vi) proof that I have secured the surface rights of the land the subject of this application as required by section 43 (3) (h) of the Act.

I HEREBY CERTIFY that under my personal supervision the above mentioned beacons have been erected and the long grass, bush and brushwood cleared in accordance with regulation 37 of the Mining Regulations.

Dated this day of, year 20.....

.....
Signature of Applicant.

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT

Forwarded with the following comments:

.....

.....

Dated this..... day of, year 20.....

.....
Chief Administrative Officer or his or her authorised officer.

FORM VII.
Reg. 15, 21, 26, 36 and 48.

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR CONSENT TO *TRANSFER/TRANSFER OF A SHARE IN AN
*EXPLORATION/RETENTION /LOCATION LICENCE/MINING LEASE.

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

I hereby apply for the Commissioner's consent to *transfer/transfer a share in *Exploration
Licence/Retention Licence/ Location licence/Mining Lease, bearing Registered No.
..... in accordance with the attached transfer Form *(XXIX,XXX and XXXI).

Place of submission of application.....

Dated thisday of....., year 20

.....
Signature of Holder.

To the applicant:Registered holder of licence.....

The above application is approved/ not approved*.

The *transfer/transfer of a share in licence, number..... shall be properly stamped and
returned to the Commissioner within 30 days from the date of this notice of approval, by the
person in whose favour it is drawn, for registration together with the specified registration
fee.

Place where application was approved/not approved*.....

Dated thisday of....., year 20.....

.....

Commissioner for the Geological Survey and Mines Department.

*Delete whichever is inapplicable.

FORM VIII.
Reg. 27(1) and 45(1).

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR A CERTIFICATE OF AMALGAMATION OF *LOCATION
LICENCES/MINING LEASES.
(Accompanied by the specified fees)

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

I hereby apply for permission to amalgamate * Location Licences,
Nos...../Mining Leases, Nos. Situated at.....
in District(s).

!Reasons for which amalgamation is required –
.....
.....

I undertake that in the area covered by the proposed certificate of amalgamation, I shall
comply with the working obligations specified in the Second Schedule of the Mining
Regulations.

Dated thisday of..... year 20.....

.....
Signature of holder of location licence/
mining lease or his or her registered agent or attorney.*

* Delete whichever is inapplicable.

In the case of location licences attach a statement in accordance with regulation 27 (2) of the Mining
Regulations.

FORM IX.
Reg. 16(2), 25 (2), 47(2).

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR A CERTIFICATE OF
*CESSATION/SUSPENSION/CURTAILMENT OF WORKING OBLIGATIONS UNDER
THE EXPLORATION /LOCATION LICENCE/MINING LEASE*
(To be accompanied by specified fees)

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES DEPARTMENT.

Application is hereby made for permission to *cease/suspend /curtail work under the following mineral rights;

*Exploration licence, No...../Location licence No.....

Mining Lease, No.from the day of....., year 20....., to the day of, year 20.....

The reasons for which *cessation/ suspension/curtailment is desired are! –

.....
.....

Dated this day of....., year 20.....

.....
**Signature of holder of exploration/location licence/mining lease or his or her registered agent or attorney.*

* Delete whichever is inapplicable.

! Where the reasons given are shortage of labour or lack of water, a written statement to that effect from the Chief Administrative Officer supporting the application must be produced.

FORM X.

Reg. 17(1), 21(3), 26(3) and 48(2).

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR RENEWAL OF *EXPLORATION/ RETENTION/LOCATION LICENCE AND MINING LEASE.

*(Accompanied by the original *licence/lease, mineral rent and fees).*

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES DEPARTMENT.

1. I hereby apply for the renewal of:

- (a) Exploration licence, No.under section 30 of the Act and regulation 17(1) of the Mining Regulations.
- (b) Retention licence, No.....under Section 38 of the Act and regulation 21(3) of the Mining Regulations.
- (c) Location licence No.....under section 59 of the Act and regulation 26(3) of the Mining Regulations.
- (d) Mining Lease, No..... under section 47 of the Act and regulation 48(2) of the Mining Regulations.

2. I certify that during the period of the currency of the *licence/lease, I have fulfilled working obligations for:
 - (a) exploration licence as per the attached report of the exploration activities and costs incurred during the past period of currency of licence.
 - (b) retention licence as per the attached statement of the updated studies and assessments of prospect of development and commercial exploitation of the mineral deposit.
 - (c) location licence as required under regulation 25 and Second Schedule of the Mining Regulations.
 - (d) a report of mining activities, costs incurred, quantity of minerals won and a statement of planned investment, mining method changes, anticipated effects on environment and the plan and description of the part of mining lease for renewal.

3. Renewal is intended for the durations indicated and the relevant documents are attached as follows—
 - (a) Exploration licence: renewal for a period of..... and the following are attached:
[a programme of the planned activities, the plan of reduced area and a statement of adjustment of beacons].
 - (b) Retention licence: renewal for a period of..... and the following are attached:
[Studies showing the prevailing conditions that render the development of the deposit not possible as of now].
 - (c) Location licences: renewal for a period of.....and the following are attached:
[a statement giving particulars of the nature of the location licence operations proposed to be carried out, and the likely environmental impact and mitigation measures for negative ones and the planned capital investments.
 - (d) Mining lease: renewal for a period of.....and the following are attached:
[Mining lease as per the attached report on mining activities, costs incurred, quantity of minerals won and a statement of planned investment, mining method changes, anticipated effects on environment and the plan and description of the part of mining lease for renewal].

4. I certify that during the period of the currency of the title, I have submitted returns throughout the year and on time as required by the applicable Mining Regulations.

Dated this..... day of, year 20.....

.....
Holder of *licence/lease or registered agent or attorney.

*Delete whichever is inapplicable.

FORM XI.
Reg. 63(1).

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR REGISTRATION OF MINING INSTRUMENTS.

(Accompanied by specified fees)

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

I* of hereby lodge for registration
the annexed instrument being! in connection with –

† Exploration licence, No...../Retention licence, No...../Location licence,
No...../Mining lease, No.....

Consent to which was granted under your letter referenced, No dated.....

Dated this.....day..... of, year 20

.....
Signature of applicant for registration.

* Here insert name of person whom or which the applicant represents.

! Here insert description of transfer, agreement, etc as the case may be.

† Delete whichever is inapplicable.

FORM XII.
Reg. 80.

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR A MOVEMENT PERMIT.

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

Name of applicant.....

Address of the applicant.....

Type of mineral to be moved.....quantity.....

Mineral(s) to be moved from..... to..... for purposes of.....

*Mineral right/mineral dealer's licence No.....

I certify that the particulars given by me above are true to the best of my knowledge and
belief.

Dated this..... day of, year 20.....

.....
Signature of Applicant or authorised person.

*Delete whichever is inapplicable

FORM XIII.
Reg. 74(3).

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR AN EXPORT PERMIT.

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

Name of applicant.....

Address of the applicant.....

Mineral and quantity

Quality of mineral.....

*Produced/acquired from.....

Mineral right/mineral dealer's licence† No.

Destination.....

Royalty (paid/secured*).....

I certify that the particulars given by me above are true to the best of my knowledge and belief.

Dated this.....day of, year 20.....

.....
Signature of Applicant or authorized person.

*Delete whichever is inapplicable.

† In case of a holder of a mineral dealer's licence, attach official documents evidencing ownership of mineral by the person from whom it was purchased.

FORM XIV.
Reg. 74(1).

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR A MINERAL DEALER'S LICENCE.
(Accompanied by the specified fees).

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

Name of applicant

Nationality of applicant/country of incorporation.....

Postal address

Telephone.....Fax.....E-mail.....

Physical address.....

Attach a bank statement showing financial status.

I hereby certify that the particulars given by me above are true to the best of my knowledge
and belief.

Dated this.....day of, year 20.....

.....
Signature of Applicant.

FORM XV.
Reg. 75(1).

THE MINING ACT.
THE MINING REGULATIONS.

APPLICATION FOR A GOLDSMITH'S LICENCE.
(Accompanied by the specified fees).

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

Name of applicant

Nationality of applicant/country of incorporation.....

Postal address

Telephone.....Fax.....E-mail.....

Physical address.....

Attach bank statement showing financial status.

I hereby certify that the particulars given by me above are true to the best of my knowledge and belief.

Dated this.....day of, year 20.....

.....
Signature of Applicant.

FORM XVI.
Reg. 3(2) and 49(2).

THE MINING ACT.
THE MINING REGULATIONS.

FORM OF POWER OF ATTORNEY.

I/We1of2.....

Do hereby appoint3..... of
.....my/our attorney with authority to make application for a prospecting licence to be held by him or her agent on my/our behalf and in accordance with the provisions of regulation 49(1) of the Mining Regulations to mark out and apply for mineral rights over areas on my/our behalf, being exploration, retention, location licences and mining leases as the case may be, also he or she may apply on my/our behalf for licences, leases, permits and certificates under the Mining Act and the Explosives Act.

EXCEPT THAT the said..... shall not have power to represent me/us in any other matters relating to the said mineral rights on any areas, licences, permits and certificates except by further power in accordance with Form XVII of the Mining Regulations.

Dated this day of year 20

Signed by the1
in the presence of-

Witness—

Name and signature

Address

Occupation

The common seal of the said1
was hereto affixed in the presence of—

Director4

Director4

Director4

I accept the appointment.

Dated this.....day of....., year 20.....

.....
ATTORNEY.

- 1 The names of each person signing this power of attorney to be given in full.
- 2 The address of each such person to be given in full. In the case of a corporate entity the address of the registered office to be given in full, and where incorporated outside Uganda a local address for service of notices etc shall be given in full.
- 3 The name of the person to whom the power of attorney is granted to be given in full.
- 4 Where the appointment is made by more than one person a similar certificate should be signed by each person whose signature shall be duly witnessed.

FORM XVII.
Reg. 49(2).

THE MINING ACT.
THE MINING REGULATIONS.

ALTERNATIVE FORM OF POWER OF ATTORNEY.

I/We1.....of2

Do hereby appoint3..... of
my/our attorney for the purposes of regulations 49 of the Mining Regulations, and to represent me/us in all matters relating to all mineral rights other prospecting licences as the case may be, also licences, leases, permits and certificates under the Mining Act and the Explosives Act.

EXCEPT THAT the said shall not have the power to pledge, create, dispose of (other than by surrender or abandonment) or to create any interests in any mineral rights and licences.

Dated this day of, year 20

Signed by the1
in the presence of –

Witness—

Name and signature.....

Address

Occupation

The common seal of the said1
was hereto affixed in the presence of—

Director4

Director4

Director4

I,..... accept the appointment.

Dated this..... day of..... year 20.....

.....
ATTORNEY.

- 1 The names of each person signing this power of attorney to be given in full.
- 2 The address of each such person to be given in full. In the case of a corporate entity the address of the registered office to be given in full, and where incorporated outside Uganda a local address for service of notices etc shall be given in full.
- 3 The name of the person to whom the power of attorney is granted to be given in full.
- 4 Where the appointment is made by more than one person a similar certificate should be signed by each person whose signature shall be duly witnessed.

FORM XVIII.
Reg. 4.

THE MINING ACT.
THE MINING REGULATIONS.

No.

PROSPECTING LICENCE.

1. This prospecting licence is hereby granted to *..... as agent for†
..... for one year from to prospect for minerals.
2. This licence is subject to the provisions of the Mining Act and the applicable Mining Regulations.

Fees of: Shs..... paid; vide Receipt
No.....of.....year 20 ...

Dated this day ofyear 20.....

.....
Commissioner for the Geological Survey and Mines Department.

* Here insert name and address of the prospector.

† If the prospector holds the licence as agent for a person, then state the name and address of that person.

THE MINING ACT.
THE MINING REGULATIONS.

EXPLORATION LICENCE.

No.

.....

1. This exploration licence, is hereby granted to* for years from theday ofyear 20..... to prospect for† within the boundaries as delineated approximately on the attached map and colored
2. This licence is subject to the provisions of the Mining Act and the applicable Mining Regulations.

Special conditions:—

- (i) Pay annual mineral rent on each anniversary of the grant of the licence without demand as required under section 106 of the Act;
- (ii) Submit environment restoration plan in accordance with section 110 of the Act;
- (iii) Prevent and minimize pollution to the environment during the exercise of the rights as required under section 109 of the Act;
- (iv) Provide a self monitoring plan as required under regulation 67 of the Mining Regulations; and
- (v) Submit quarterly returns as required under the applicable Mining Regulations.

Rent and fees of: Shs.paid; vide receipt No.....of.....,year 20.....

Dated this day of ,year 20.....

.....
Commissioner for the Geological Survey and Mines Department.

* Here insert name, address and description of licensee.
† State mineral or minerals.

THE MINING ACT.
THE MINING REGULATIONS.

RETENTION LICENCE.

No.....

1. This retention licence, is hereby granted under section 37 of the Act to* for years/months..... from the day ofyear 20..... to retain the retention area concerned in respect of†..... within the boundaries as delineated approximately on the attached map and coloured

2. This licence is subject to the provisions of the Mining Act and the applicable Mining Regulations.

Special conditions:-

- (i) Pay annual mineral rent on each anniversary of the grant of the licence without demand as required under section 106 of the Act;
- (ii) Submit environment restoration plan in accordance with section 110 of the Act;
- (iii) Prevent and minimize pollution to the environment during the exercise of the rights under this licence as required under section 109 of the Act;
- (iv) Provide updated studies and assessments of the prospects of the developments and commercial exploitation of the mineral deposits as required under section 39 of the Act.
- (v) Submit quarterly returns as required under the applicable Mining Regulations.

Rent and fees of: Shs.....paid; vide receipt No.....of.....year 20.....

Dated this day of year 20.....

.....
Commissioner for the Geological Survey and Mines Department.

* Here insert name, address and description of licencee.

† State mineral or minerals.

FORM XXI.
Reg. 23 (3).

THE MINING ACT.
THE MINING REGULATIONS.

LOCATION LICENCE.

No.

CLASS OF THE TYPE OF LOCATION LICENCE.....

- 1. This Location licence, is hereby granted to* for years from theday of.....year 20..... to carry on small-scale prospecting and mining operations under section 55 of the Act for† within the boundaries as delineated approximately on the attached map.
- 2. This licence is subject to the provisions of the Mining Act and applicable Mining Regulations.

Special conditions:

- (i) Pay annual mineral rent on each anniversary of the grant of this licence without demand as required under section 106 of the Act.
- (ii) Carry out rehabilitation and reclamation of mined out areas as is required under section 60(2)(f) of the Act.
- (iii) Submit monthly returns as required under the applicable Mining Regulations.

Rent and fees of: Shs..... paid; vide receipt No. ofyear 20.....

Dated this day of year 20.....

.....
Commissioner for the Geological Survey and Mines Department.

* Here insert name and address of holder.
† State mineral.

FORM XXII.
Reg. 36.

THE MINING ACT.
THE MINING REGULATIONS.

MINING LEASE.

No.

THIS MINING LEASE made the day of BETWEEN the Commissioner (representing the Uganda Government) hereinafter called the Lessor (which expression shall be taken to include his/her successors), of the one part and(here insert name, address and other relevant particulars) hereinafter called the Lessee (which expression shall be taken to include his/her successor) of the other part.

WITNESSETH as follows—

In consideration of the payments hereinafter reserved and of the performance by the lessee of the duties imposed upon mining lessees generally by the Mining Act, and subject to the provisions of the said Act, the Lessor hereby demises and grants unto the lessee the minerals mentioned in Schedule B hereto in the area mentioned and described in Schedule A hereto and approximately delineated on the map attached hereto together with in respect of such minerals and area the rights conferred upon mining lessees generally by the Mining Act. To have and to hold the premises hereby demised and granted unto the lessees, for the term of years from the date of these presents, Yielding and Paying therefor to the Commissioner during the said term annually in advance and without demand an annual mineral rent as specified in the Third Schedule to these Regulations. And Yielding and Paying all royalties payable under the provisions of the Mining Act, and the lessee hereby covenants with the Lessor to comply with the provisions of the Mining Act. AND the lessee also covenants that on execution of this lease the lessee will within ninety (90) days comply with the provisions of section 50 of the Mining Act, as though such provisions were applicable to this lease. AND the lessee hereby further covenants to:-

- (i) pay annual mineral rent at each anniversary without demand as provided under section 106 of the Act;
- (ii) observe environmental restoration plan as required under section 110 of the Act;
- (iii) observe environmental protection standards as set out in section 109 of the Act;
- (iv) put in place an environmental monitoring plan as set out in regulation 66 of the Mining Regulations.
- (v) employ and train Ugandans;
- (vi) procure goods and services as set out in section 45 of the Act; and any other special conditions to be observed.

IT IS HEREBY expressly agreed that the expression 'Mining Act' shall throughout this mining lease be deemed to mean and include the Mining Act, 2003, Act 9 2003 any Act amending the same or substituted therefor, and all regulations now in force or which come into force under any of the said Acts during the continuance of this mining lease.

In witness, etc.

.....
Signatures of Lessor.

.....
Signature of Lessee

SCHEDULE A.
(Minerals which may be mined by the Lessee in the area leased)

SCHEDULE B.
(Description of the boundaries of the area the subject of the mining lease)

Attach applications for the lease.

FORM XXIII.
Reg. 74(1).

THE MINING ACT.
THE MINING REGULATIONS.

MINERAL DEALER'S LICENCE.

No.....

- (1) This mineral dealer's licence is hereby granted to*... .. to deal in the following minerals† at!
- (2) This licence is subject to the provisions of the Mining Act and applicable Mining Regulations.

This licence expires on 31st December year 20

Fees of: Shs paid; vide receipt No..... year 20

Dated this day ofyear 20.....

.....
Commissioner for the Geological Survey and Mines Department.

* Here insert name and address of licensee.

† Here insert minerals in respect of which the licence is granted.

! Here insert place of business.

FORM XXIV.
Reg.75(1).

THE MINING ACT.
THE MINING REGULATIONS.

GOLDSMITH'S LICENCE.

No.

- (1) This goldsmith's licence is hereby granted to* of!.....
to carry on the business of a goldsmith at†
- (2) This licence is subject to the Mining Act and the applicable Mining regulations.

This licence expires on 31st of December, year 20.....

Fees of: Shs..... paid; vide receipt Noof.....20.....

Dated this day of 20.....

.....
Commissioner for the Geological Survey and Mines Department.

* Here insert name and address.

! Here insert postal address.

† Here insert physical address.

FORM XXV.
Reg. 5 (3 & 4) and
53(1& 3).

THE MINING ACT.
THE MINING REGULATIONS.

PROSPECTING LICENCE RETURN FORM.

(To be lodged in English language in triplicate as soon as circumstances permit and in any case not later than fourteen days after the end of the month reported on).

Returns in respect of month ofyear 20.....

Number of prospecting licence.....

Holder of licence.....

Name of agent, attorney or manager.....

Address of holder.....

District(s) in which prospecting is carried out.....

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

Day of
month

Sub-county or Administrative Sub-division

Mineral(s) prospected for

Quantity of mineral(s)
obtained (state units)

Number of workers

Expenditure incurred (state amount in shs.)

- 1
- 2
- 3
- 4
- 5
- 6
- 7
- 8
- 9
- 10
- 11

- 12
- 13
- 14
- 15
- 16
- 17
- 18

19
20
21
22
23
24
25
26
27
28
29
30
31

Monthly Total

I hereby certify that this information is correct and complies with the requirements of the applicable Mining Regulations.

Dated this.....day of....., year of 20.....

.....
Signature of holder of prospecting licence

FORM XXVI.
Reg. 53(1).

THE MINING ACT.
MINING REGULATIONS.

***EXPLORATION/RETENTION LICENCE RETURN FORM.**

(To be lodged in triplicate as soon as circumstances permit and in any case not later than fourteen days after the end of quarter reported on).

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

Name of holder of *exploration/retention licence.....

AddressPhone No.....

*Exploration/Retention licence No.....

Mineral(s) to which the *exploration/retention licence relates.....

Minerals discovered

Period from to.....

1. LOCATION OF *EXPLORATION/RETENTION LICENCE AREA.

Grid References (for Location beacon, UTM)

Map Sheet.....

Longitude (nothings).....

Latitude (easting).....

2. ADMINISTRATIVE HEADQUARTER.

County/counties.....

District(s).....

3. ACCESS (Brief description of access).

4. SUMMARY OF PREVIOUS WORK CARRIED OUT.

4.1. Geological mapping.

EXPENDITURE
INCURRED

Surface area covered (Km2)

Scale of final map product

4.2 Geochemical surveys.

Total length of lines cut (metres)

Number of samples from pits..... (number of pits)

Number of samples from auguring..... (metres augured)

Number of samples from trenching..... (metres trenched)

Number of samples from drilling.....(metres drilled)

Number of cores logged and sampled.....(number of Pieces)

Stream sediment sampling (numbers, elements analysed)

Soil sampling (numbers, elements analysed)

Rock sampling (number, elements analysed)

SUB-TOTAL EXPENDITURE

4.3 Geophysical survey. (describe the work done and accompany a copy of raw data to this report).

Description of work done should include:

- (a) Survey specifications and line kilometreage covered.
- (b) Specify whether it is a ground or airborne geophysical survey.
- (c) An operation report detailing the type of data processing performed and interpretation on the data.
- (d) Work done as per following format.

EXPENDITURE INCURRED

Total kilometreage covered under Magnetic Surveys

Total kilometreage covered under Electro Magnetic Surveys

Total kilometreage covered under Induced Polarization.....

Total kilometreage covered under Gravimetric Surveys.....

Total kilometreage covered under Air bone Surveys.....

kilometreage covered under Radiometric Surveys...

Total

Others (specify).....
SUB-TOTAL EXPENDITURE

4.4. Laboratory investigations. (copy of raw data to accompany this report).
EXPENDITURE INCURRED.

Thin section study slides (number of samples).....
Assay.....(number of samples).....
Geochemical (element tested) (number of samples).....
SUB-TOTAL EXPENDITURE

EXPENDITURE INCURRED.

4.5. Summary of data analysis, processing
and interpretation

SUB-TOTAL EXPENDITURE

EXPENDITURE INCURRED.

4.6. Mineral dressing (bench, scale and pilot plant test)
of the work carried out and expenditure) (Description
EXPENDITURE SUB-TOTAL

EXPENDITURE INCURRED

4.7. Reserve estimation. (Describe the method used in
calculating the reserves and give quantities of proven,
probable and possible ore).

SUB-TOTAL EXPENDITURE

EXPENDITURE INCURRED

4.8 Other expenses. (wages, salaries, machinery, equipments, consumables,
administrative overheads etc)

SUB TOTAL EXPENDITURE

GRAND TOTAL EXPENDITURE

5. CONCLUSIONS AND RECOMMENDATIONS.

I hereby certify that the statements set out above are correct to the best of my
knowledge and belief.

Dated this..... day of....., year 20.....

.....
Signature holder of exploration/retention licence.

*Delete whichever is inapplicable.
Note: Attach a separate sheet if necessary.

FORM XXVII.
Reg 53(1).

THE MINING ACT.
THE MINING REGULATIONS.

!LOCATION LICENCE / MINING LEASE RETURN FORM.

*(To be lodged in triplicate as soon as circumstances permit and in any case not later than
fourteen days after the end of the month reported on).*

Returns in respect of the month ofyear of 20.....

Holder of licence/lease.....

Name of agent, attorney or manager

.....

Address of holder.....

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES DEPARTMENT.

Minerals to which licence/lease* relates.....

Minerals discovered

Number of* licence(s)/lease(s)

Labour employed;

- 1. Workers on surface
- 2. Workers underground

.....

Total wages and salaries

- 1. Total Wages Shs.
- 2. Total Salaries "

Expenditure

- 1. Rations and welfare Shs.
- 2. Plant "
- 3. Spare parts and tools "
- 4. Fuel and lubricants "

.....

Mineral Production

- 1. Mined and on hand at end of preceding month
- 2. Quantity produced during the month
- 3. Quantity sold/consumed during month
- 4. Quantity in stock at end of month

Accidents that occurred and are reportable under the Act include...

.....

Remarks on accidents that occurred

.....

Turnover of the employees.....

If there was no production during the period, give reason(s)

.....

I hereby certify that the statements set out above are correct to the best of my knowledge and belief.

Dated thisday of..... , year 20.....

.....
Signature of holder of Licence/lease.

* Enter the number of location licences/leases

! Delete which ever is inapplicable.

FORM XXVIII.
Reg. 67(3).

THE MINING ACT.
THE MINING REGULATIONS.

ENVIRONMENTAL MONITORING REPORT.

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES
DEPARTMENT.

To: THE EXECUTIVE DIRECTOR, NEMA.

1. Name and address of holder of exploration licence or mining lease
2. Number of exploration licence/mining lease held by the person.
3. Name and Address of the person responsible for monitoring compliance under regulation 66 (2) (a) of the Mining Regulations.
4. Qualifications and position or appointment of the person referred to in 2 above
5. Details of environmental parameters or aspects monitored.
6. Results of monitored activities.
7. Proposal of new procedures, if any, to protect and improve environmental conservation.

I hereby certify that the information provided by me above is correct to the best of my knowledge and belief.

Dated thisday of....., year 20

.....
Signature of holder of licence or lease.

THE MINING ACT.
THE MINING REGULATIONS.

*TRANSFER OF/A SHARE IN/AN EXPLORATION /RETENTION LICENCE.

No.....

I, (a) of (b) being the registered holder of *Exploration/ Retention Licence registered as No. (c) situated in District granted or renewed* for a period of from IN CONSIDERATION of the sum of (d)DO HEREBY TRANSFER (e) UNDIVIDED SHARE of my rights, title and interest in and under the said licence/share* as from the day of, for the remainder of the term of the licence.

AND I the said (f)of (g)..... do hereby accept the said licence/share* subject to all the terms and conditions under which the said licence was granted.

Dated this day of, year 20...

Signature of—

Witness to transferor *Signature of Transferor*

Name and address

Occupation

Witness to transferee..... *Signature of Transferee* Name and address

.....

Occupation

* Delete whichever is inapplicable.

- (a) Give full name.
- (b) Give physical, postal address and telephone number of holder.
- (c) Give registered number of licence.
- (d) Indicate the full value of the consideration passing.
- (e) If the whole licence is not being transferred indicate the share in the licence to be the subject of the transfer.
- (f) Give full name and
- (g) physical and postal address and telephone number of the person to whom the transfer is being made.

NOTE – Where a party to the above is a corporate entity and execution is not made by a lawfully constituted attorney of the entity, the execution must be by the affixing of the common seal of the entity in the presence of the dully authorized officers of the entity who shall sign as witnesses.

FORM XXX.
Reg. 26(1).

THE MINING ACT.
THE MINING REGULATIONS.
*TRANSFER OF/A SHARE IN/ A LOCATION LICENCE.

No.....

I (a) of (b)being the registered holder of location licence registered as No. (c)
Situating in District and granted/renewed* for a period of from IN CONSIDERATION of the sum of (d) DO HEREBY TRANSFER to (e)of (f) ALL (g)..... UNDIVIDED SHARE of my rights, title and interest in and under the said location licence as from the day of, for the remainder of the term of the licence.

AND I the said (h) do hereby accept the said location licence or share of the lease, as the case may be, subject to all the terms and conditions under which the said location licence was granted.

Dated this day of, year 20

Signature of—

Witness to transferor *Signature of Transferor*

Name and address

Occupation

Witness to transferee..... *Signature of Transferee* Name and address

.....

Occupation

* Delete whichever is inapplicable.

- (a) Give full name and
- (b) Physical, postal address and telephone number of holder.
- (c) Give registered number.
- (d) Indicate the full value of the consideration passing.
- (e) Give full name and
- (f) Physical and postal address and telephone number of the person to whom the transfer is being made.
- (g) If the whole title is not being transferred indicate the share in the title to be the subject of transfer.
- (h) Give full name of the person to whom the transfer is being made.

NOTE – Where a party to the above is and execution is not made by a lawfully constituted attorney of the entity, the execution must be by affixing of the common seal of the entity in the presence of the duly authorized officers of the entity who shall sign as witnesses thereto.

FORM XXXI.
Reg. 36 and 48.

THE MINING ACT.
THE MINING REGULATIONS.

*TRANSFER OF/ A SHARE IN/ A MINING LEASE.

No.

I (a) of (b) being the registered holder of mining lease registered as No. (c)

Situated in District and granted/renewed* for a period of from IN CONSIDERATION of the sum of (d) DO HEREBY TRANSFER to (e) of (f) ALL (g)..... UNDIVIDED SHARE of my rights, title and interest in and under the said mining lease as from the day of, for the remainder of the term of the lease.

AND I the said (h) do hereby accept the said mining lease or share of the lease, as the case may be, subject to all the terms and conditions under which the said mining lease was granted.

Dated this day of, year 20.....

Signature of:

Witness to Transferor*Signature of Transferor*.....

Name and address..... Occupation

Witness to Transferee*Signature of Transferee*.....

Name and address..... Occupation

* Delete whichever is inapplicable.

- (a) Give full name and (b) physical, postal address and telephone number of holder
(c) Give registered number.
(d) Indicate the full value of the consideration passing.
(e) Give full name and (f) physical, postal address and telephone number of the person to whom the transfer is being made.
(g) If the whole title is not being transferred indicate the share in the title to be the subject of transfer.
(h) Give full name of the person to whom the transfer is being made.

NOTE – Where a party to the above is a corporate entity and execution is not made by a lawfully constituted attorney of the entity, the execution must be by the affixing of the common seal of the entity in the presence of the duly authorized officers of the entity who shall sign as witnesses thereto.

FORM XXXII.
Reg. 27 (1) and 45(1).

THE MINING ACT.
THE MINING REGULATIONS.

CERTIFICATE OF AMALGAMATION OF LOCATION LICENCES/ MINING
LEASES.*

No.

.....

I hereby certify that I have this day amalgamated Location Licences/Mining Leases * Nos.
..... held byof..... and situated at in the District(s) of
.....

Fees of: Shs.....paid vide receipt number.....of.....

Dated this.....day of....., year 20.....

.....
Commissioner for the Geological Survey and Mines Department.

*Delete whichever is inapplicable.

FORM XXXIII.
Reg. 16(3), 25 (3) and 47(3).

THE MINING ACT.
THE MINING REGULATIONS.

*CERTIFICATE OF CESSATION, SUSPENSION OR CURTAILMENT OF WORKING
OBLIGATIONS ON *EXPLORATION / LOCATION LICENCE / MINING LEASE.

No.

I hereby certify that I have *ceased/suspended/curtailed the working obligations on
*Exploration Licence, No..... / Location Licence, No... .. /Mining Lease, No.
.....from theday of.....to the.....day of....., subject to the
following conditions:-

.....
.....
.....

Fees of: Shs.....paid; vide receipt number.....of.....

Dated this.....day of....., year 20.....

.....
Commissioner for the Geological Survey and Mines Department.

*Delete whichever is inapplicable.

FORM XXXIV.
Reg. 65(2).

THE MINING ACT.
THE MINING REGULATIONS.

CERTIFICATE OF APPROVAL OF PROJECT BRIEF.

No.

To:.....of.....

This is to certify that the project brief in respect of exploration work to be carried out over an area within..... (give UTM grid of corners to the area) in the District(s) of has been approved on the following conditions:-

.....
.....

Dated this day of, year 20

.....
Commissioner for the Geological Survey and Mines Department.

FORM XXXV.
Reg. 82.

THE MINING ACT.
THE MINING REGULATIONS.

EVIDENTIARY CERTIFICATE.

No.....

This is to certify that mineral right No.....for mineral(s).....held by.....was *granted/ transferred/suspended/cancelled on (date).....

Reasons (if any).....

Dated this day of, year 20.....

.....
Commissioner for the Geological Survey and Mines Department.

*Delete whichever is inapplicable.

FORM XXXVI.
Reg. 80.

THE MINING ACT.
THE MINING REGULATIONS.

MOVEMENT PERMIT.

No.

.....

Permission is hereby granted to on behalf of to
move.....packages of
containing.....from.....to.....

This permission is valid until.....

Dated this day of, year 20.....

.....
Commissioner for the Geological Survey and Mines Department.

FORM XXXVII.
Reg. 71(3), 74(3).

THE MINING ACT.
THE MINING REGULATIONS.

EXPORT PERMIT.

No.
.....

Permission is hereby granted to* on behalf of†.....to
export!.....packages of1.....containing2
.....produced from3.....and marked4
..... on which royalty has been paid or secured to the satisfaction of the
Commissioner for the Geological Survey and Mines Department.

Dated this.....day of, year 20.....

.....
Commissioner for the Geological Survey and Mines Department.

- * Name of applicant
- + Name of holder of licence.
- ! The number of packages
- 1 Mineral
- 2 Quantity
- 3 The district and country of origin
- 4 Identification marks of the packages provided by the applicant

FORM XXXVIII.
Reg. 77.

THE MINING ACT.
THE MINING REGULATIONS.

IMPORT PERMIT.

(Issued after payment of fee specified)

No.

Permission is hereby granted to* on behalf of†to import!.....packages of1(mineral) containing2..... produced from3.....and marked4 on which fee has been paid or secured to the satisfaction of the Commissioner for the Geological Survey and Mines Department.

The conditions for importation are:-.....
.....

Fees of: Shs.....paid; vide receipt number.....of.....

Dated this.....day of, year 20.....

.....
Commissioner for the Geological Survey and Mines Department.

*Name of applicant

+Name of holder of licence.

! The number of packages

1 Mineral

2 Quantity

3The district and country of origin

4Identification marks of the packages provided by the applicant

FORM XXXIX.

Reg. 77.

THE MINING ACT.
THE MINING REGULATIONS.

DECLARATION ON IMPORTATION OF MINERAL(S).

(To be filled in triplicate)

(i) I ofhereby declare that I lawfully own†..... acquired under authority herewith attached, and I now desire to import the said mineral(s) into Uganda for the purposes of *.....

(ii) The said† is my own property.

(iii) I obtained the said†from! a copy of whose authority of ownership is herewith attached.

I make this declaration conscientiously believing the same to be true in every particular.

Declared at (here insert place) this day of
....., year 20.....

.....
Signature of Declarant.

.....
Signature and Official Stamp of Customs Officer certifying.

†Quantity/type of mineral(s).

*State purpose of importation.

! Insert place, country, name of vendor and date of transaction.

FORM XL.
Reg. 78.

THE MINING ACT.
THE MINING REGULATIONS.

FORFEITURE OF MINERALS BY ORDER OF COURT.

No.

In the Court of

NOTICE IS HEREBY GIVEN that(here insert weight and
description of minerals) was on the (here insert date) seized at
(here insert place) and are declared forfeited to the Government by this court by reason of
..... (state grounds, e.g., 'it having been unlawfully sold by', etc.).

Any person claiming any title to the said minerals must prove his or her title to the
satisfaction of the Court within one month from the date of this notice.

If no such claim is proved, the said minerals shall become the absolute property of the
Government, and shall be disposed of accordingly.

Dated this day of, year 20.....

.....
Magistrate/Judge of the High Court.

FORM XLI.

THE MINING ACT.
THE MINING REGULATIONS.

SURRENDER OF *EXPLORATION/ RETENTION LICENCE.

WHEREAS under the provisions of the Mining Act an *Exploration /Retention Licence was on the day of *granted/renewed from the date of the licence and WHEREAS *I/we, desire to surrender the said exploration/retention licence and the Commissioner is willing to accept such surrender:

Now, therefore *I/we..... hereby surrender all *my/our rights, title and interest in and under the said *Exploration/Retention Licence from the day of, year of.....

Fees of: Shs.....paid; vide receipt No..... of.....,year 20.....

Dated this.....day of....., year 20.....

.....
Signature of holder of licence.

*Delete whichever is in applicable.

NOTE.- Where the surrender is being made by a corporate entity and execution is not made by a lawfully constituted attorney of the entity, the execution must be by the affixing of the common seal of the entity in the presence of the duly authorized officers of the entity who shall sign as witnesses.

FORM XLII.
Reg. 26(2), 36 and 48(1).

THE MINING ACT.
THE MINING REGULATIONS.

SURRENDER OF *LOCATION LICENCE/ MINING LEASE.

WHEREAS under the provisions of the Mining Act a location *licence/ mining lease for the purpose of mining for..... (here insert minerals scheduled in *location licence/mining lease) was on the day of granted to of for years and is duly registered as Mining Instrument, No.....

And whereas *I/we the lawful holder(s) desire to surrender the said location licence/ mining lease * and the Commissioner is willing to accept such surrender:

Now, therefore, *I/we hereby surrender all *my/our rights, title and interest in and under the said *location licence/ mining lease as from the..... day of, year of

Dated this day of, year 20.....

.....
**Holder of location licence/mining lease.*

*Delete whichever is in applicable.

NOTE.- Where the surrender is being made by a corporate entity and execution is not made by a lawfully constituted attorney of the entity, the execution must be by the affixing of the common seal of the entity in the presence of the duly authorized officers of the entity who shall sign as witnesses.

FORM XLIII.
Reg. 76.

THE MINING ACT.
THE MINING REGULATIONS.

MEMORANDUM OF COMPLAINT.

No.....

To: THE COMMISSIONER FOR THE GEOLOGICAL SURVEY AND MINES DEPARTMENT. (with a copy to the Chief Administrative Officer..... District).

I†..... of lodge a complaint against!..... of and state as follows-

- (1) *That, etc.
- (2) *That, etc.
- (3) *That, etc.

The complainant therefore prays (set forth relief sought) or such other relief as shall be just.

Dated this day of, year 20.....

.....
Signature of Complainant.

* Set forth the subject matter of the complaint in paragraphs.

† Here insert names of complainant and physical address.
! Here insert names defendant and physical address.

FORM XLIV.
Reg.76.

THE MINING ACT.
THE MINING REGULATIONS.

NOTICE OF COMPLAINT TO DEFENDANT.

No.....

To: *.....of

You are hereby summoned to appear before the Commissioner at on the day of, year 20 at o'clock in the !morning/afternoon precisely, to answer a complaint lodged by†..... (a copy of which is sent herewith) of.....

You should submit your defense to the complaint in writing within not later than twenty one calendar days from the date of receipt of these summons.....

You may take out a summons to compel the attendance of any witness or for the production of any books or documents by applying at my office.

Given under my hand, this day of, year 20

.....
Commissioner for the Geological Survey and Mines Department.

* Here insert name of the defendant and his or her physical address or entity represented.
† Here insert name of the complainant and his or her physical address or entity represented.

! Delete whichever is inapplicable.

FORM XLV.
Reg. 39.

THE MINING ACT.
THE MINING REGULATIONS.

NOTICE OF COMMISSIONER'S SANCTION OF
A MINING LEASE.

To:..... (here insert name and address of land owner or lawful occupier of land within the area of proposed mining lease).

TAKE NOTICE that the grant of a mining lease to:.....

..... (here insert name of mining lease applicant) has been sanctioned by the Commissioner as per letter referencedof..... (here insert date), and that the land now owned/occupied by you at (here insert place) is stated to be within the boundaries of the sanctioned mining lease. A map showing these boundaries can be seen at my office during working hours and working days.

TAKE FURTHER NOTICE that as the land owner or lawful occupier of the land, subject of the sanctioned mining lease, you are entitled under section 83 of the Act to either compensation for disturbance of your rights on the land or a share of royalties under section 98 of the Act.

Place

Dated this.....day of....., year 20.....

.....
Chief Administrative Officer or his or her authorized officer.

FORM XLVI.
Reg. 60(2).

THE MINING ACT.
THE MINING REGULATIONS.

ALL CLEAR CERTIFICATE.

To: THE CHIEF ADMINISTRATIVE OFFICER OF... DISTRICT(S).

I hereby apply for an all- clear certificate after I have personally supervised removal of beacons which were erected under authority of mineral right registered number.....

The reason for removal of the beacons is: (*refusal/ expiration/ cancellation/revocation/surrender of the mineral right).

Dated this..... day of, year 20.....

.....
Signature of Applicant/ holder of mineral right.

To the applicant:....Registered holder of mineral right number.....

*Confirmation/ refute of compliance.

I hereby *confirm/ refute that the holder of mineral right, No..... has reclaimed and rehabilitated the area and has removed the beacons as required by the law.

Dated this..... day ofyear 20.....

.....
Chief Administrative Officer or his or her authorized officer.

*Delete whichever is inapplicable.

SECOND SCHEDULE.

Reg. 16(1), 20, 25(1) and 47(1).

OBLIGATORY WORKING CONDITIONS.

PART 1.

Exploration Licences and Retention licences.

1. The holder of an exploration licence shall comply with section 32 of the Act and the holder of retention licence shall comply with section 39 of the Act.
2. Without limiting the generality of paragraph 1 of this Schedule—
 - (a) expenditure on activities or matters outside the approved programme of exploration operations under section 27 (3) of the Act, shall be excluded when reckoning or calculating expenditure on approved exploration operations; and a part of one month shall be considered as one month for the purposes of this Part;
 - (b) expenditure incurred on exploration operations in a location licence area or mining area may not be reckoned as expenditure on approved exploration operations.
3. Expenditure in any month over and above the approved expenditure may not be set off against any future approved expenditure in an exploration area without the prior written permission of the Commissioner.
4. In any case where work has been done in an exploration area in respect of which a retention licence has been granted, expenditure in excess of the approved expenditure may be credited to the fulfillment of obligations in respect of the exploration licence included in such retention licence proportionately to the exploration licence area.
5. Where any obligation under a retention licence has not been fulfilled, the renewal of an exploration licence in respect of that retention licence shall not be granted.

PART 2.

Location licences Classes I, II and III.

1. The holder of a location licence Classes I, II or III shall, not later than two months after the date of notification of the grant of the licence commence, and during each year during the continuance of the licence, complete not less than twenty metres of development work.
2. The holder of a group of amalgamated location licences shall execute on the area comprised in such amalgamated locations, development work of not less than twenty

metres multiplied by the number of location licences contained in the amalgamation certificate.

For the purpose of this Part of this Schedule, "development work" consists of digging of pits, excavating of trenches, excavations, blast hole drilling, blasting and any other activities associated with prospecting and open cast mining.

PART 3.

Location licences Classes IV and VI.

1. The holder of a location licence Classes IV and VI shall, not later than twenty-one days from the date of notification of the grant of the location licence, start to mine and employ each day (excluding Sundays and public holidays) not less than ten labourers on surface or open-cast operations in the location licence area.
2. The holder of a group of amalgamated location licences shall employ each day (excluding Sundays and public holidays) in the amalgamated location licence areas, not less than ten labourers on surface or open-cast operations in respect of each location licence included in the amalgamated location licence area.

PART 4.

Location licence Class V.

The holder of a location licence Class V shall, as from the first day of the month following the grant of the licence, employ in the location licence area sufficient labourers to give, in each month, a daily working average (excluding Sundays and public holidays) of not less than five labourers for each ninety metres or part of ninety metres of the length of the area of the location licence Class V, or equivalent labour saving apparatus calculated at the rate of one horse-power to eight labourers, or labour and labour saving apparatus sufficient, in accordance with such calculation, to be equivalent in the aggregate to that daily working average.

PART 5.

Location Licence Class VII.

The holder of a location licence Class VII shall comply with such written directives relating to working conditions as may be issued from time to time by the Commissioner to regulate small-scale mining in the salt sub-sector.

PART 6.

Mining Leases.

1. The holder of a mining lease shall comply with section 50 of the Act.
2. Without limiting the generality of paragraph 1 of this Schedule, the holder of a mining lease shall execute in his or her mining area, development work, or employ sufficient laborers that will result in each month a daily working average (excluding Sundays and public holidays) of not less than five laborers for twenty acres or part of

twenty five acres; or labour and labour saving apparatus sufficient, in accordance with such calculation, to be together equivalent in the aggregate to that average.

3. (1) For the purpose of this Part of this Schedule, "development work" shall consist of digging of pits, excavating of trenches, excavating of benches, blasting and drilling of blast holes and shafts, drives, tunnels, winzes, raises upon the block, and boreholes of a minimum diameter of two centimetres from which a core is extracted.

- (2) Development work need not be done upon a reef; however where it is done, it shall –

- (a) be done with a view to actual development of the reef in question; and
- (b) be new work and not the restoration or clearing out of development work previously done or of old workings;

and the minimum dimensions for development work and the ratio in which work of larger dimensions or at certain distances from the surface shall be allowed to be reckoned as development work are as follows —

- (aa) Grading of metres. –

- (i) no shaft, winze, rise, drive, adit or tunnel of surface area less than two square metres shall count as development work;
- (ii) each one third of one metre of any shaft, winze or rise of two to six square metres surface area shall count as a third of one metre of development work;
- (iii) each one third of one metre of any shaft, winze or rise of over six square metres and up to eight square metres surface area shall count as two thirds of a metre of development work;
- (iv) each one third of one metre of any shaft, winze or rise over eight square metres surface area shall count as one metre of development work;
- (v) each one third of one metre of any drive, and adit or tunnel from surface, of seven square metres surface area and over shall count as two thirds of one metre of development work;

- (bb) Depth–

each one third of one metre of any portion of a shaft, winze, or rise or any drift, the floor of which is twenty metres below the natural surface at the working point, shall count as one third of one metre of development work; exceeding twenty metres and up to thirty metres as half one metre; exceeding thirty five metres and up to seventy metres as two thirds of one metre; exceeding one hundred metres and up to one hundred and thirty metres as one and a half metres; exceeding one hundred and thirty metres and up to one hundred and seventy metres as one and three quarters of one metre; and exceeding one hundred and seventy metres as two metres of development work.

- (cc) Distance from entrance –

each one third of one metre of any portion of any adit or tunnel from surface which is thirty metres to one hundred metres from a point in the center of the roof at the entrance shall count as half of one metre of development work, and each one third of one metre of any portion one hundred metres or more from that point shall count as two thirds of one metre.

- (dd) General – All dimensions must be taken at right angles to the line of direction of work.
- (e) Depth of inclined work is to be measured on the incline. The allowances for dimensions and depth or distance from entrance will be cumulative, e.g., each one third of one metre of any portion of a shaft above eight square metres surface area which is over thirty metres from surface shall count as two metres of development work.
- (f) Allowance for diamond or other approved core or percussion drilling. – Each one third of one metre drilled either vertically or horizontally for a distance of one hundred metres below the natural surface to count as one third of one metre of development work; exceeding one hundred metres and up to two hundred metres as two thirds of one metre; up to three hundred metres as one metre; exceeding three hundred metres and up to four hundred metres as one and a third of one metre; exceeding four hundred metres as one and a half metres.
- (g) Allowances for drilling or pitting alluvial or earthy overburden. – Three metres shall count as one third of one metre of development, except that in the case of drill holes of more than thirty centimetres diameter and of pits more than ten metres deep, one metre shall count as one third of one metre of development.
- (h) Allowances for trenching. – Development work also includes trenching or excavating down to bedrock, or to a minimum depth of two metres if bedrock has not been reached earlier, which has been performed for the purpose of tracing a reef or proving a deposit except that trenching and excavating shall only count as metres for development work in the ratio of seven and a half cubic metres of excavation being equal to one third of one metre of development work.
- (i) Allowance for distance from railhead etc. – In respect of any mine more than one hundred and sixty but less than three hundred and twenty kilometres from a convenient railway station, sea or inland port the development work under these Regulations shall be reduced by ten per cent. In respect of any mine more than three hundred and twenty kilometres from a convenient railway station, sea or inland port the development work under these Regulations shall be reduced by twenty per cent. The Commissioner may determine what is a convenient railway station or port for the purposes of this Schedule.

PART 7.

General.

1. Where the Commissioner is satisfied that the application of any or all of the obligatory working conditions set out in the preceding Parts of this Schedule are not calculated effectively to explore, develop or exploit the mineral deposits of the area of any mineral right other than prospecting licence, the Commissioner may require the holder of the mineral right concerned to comply with special working conditions laid down by him or her in respect of the mineral right.
2. The Commissioner may allow expenditure, or employment of Ugandan labour engaged, on road construction in connection with the exploration, development or exploitation of the area of any mineral right other than prospecting licence to be given a credit to fulfillment of obligatory working conditions under this Schedule. The holder of any mineral right other than prospecting licence, who applies for such credit shall show the expenditure and labour engaged separately and not in the body of the return form.
3. For the purposes of this Schedule the horse-power of labour saving apparatus shall be determined in following manner—
 - (a) in the case of internal combustion or steam engines the makers' declared horse-power shall be accepted;
 - (b) in the case of hydraulic plant the horse-power shall be calculated on the formula $H.P. = QHC$, where Q equals the quantity of water used in cubic metres per second, H equals the effective head in metres and C equals a constant of 13.16 :

Provided that the provisions of this sub-paragraph shall not apply to water used for sluicing in open channels and the horse-power equivalent of water so used shall not be included in any return of labour saving apparatus;

- (c) in any other case in such manner and on such formula as the Commissioner may order.

THIRD SCHEDULE.

Regulation 69.

FEES.

Currency points

1.	For preparation of an exploration licence	...	30
2.	For preparation of a retention licence...	...	20
3.	For preparation of a location licence...	...	20
4.	For a renewal of an exploration or location licence...	...	30
5.	Annual fee for a prospecting licence...	...	7.5
6.	For preparation of a mining lease	...	100
7.	For a renewal of a mining lease	...	100
8.	Certificate of amalgamation of location licences or mining leases...	...	50
9.	Certificate of suspension of working obligations of a mineral right	...	15
10.	For the registration in the office of the Commissioner of any instrument other than a notice of abandonment or forfeiture	...	2.5
11.	For each search in a register of mining instruments	...	5
12.	For copy of or extract from any registered document, for every page	...	2.5
13.	For a mineral dealer's licence in		
	(a) industrial or building minerals...	...	50
	(b) base metals (or a metal which is not precious metal)	75	
	(c) precious metals	...	100
	(d) precious stones	...	100
14.	For any copy issued for lost or destroyed certificates, including copy of any map attached to the original instrument – the same fees as specified for an original instrument	2.5	
15.	The fees to be paid in respect of an import permit under sub-section 3 of section 117 of the Act shall be as follows—		
	(a) Precious metals	0.5% of the prevailing price on the London Metal Exchange or any other Metal Exchange or Market	
	(b) Precious stones	0.5% of the prevailing price on the London Metal Exchange or any other Metal Exchange or Market.	
	(c) Base metals	1% of the prevailing price on the London Metal Exchange or any other Metal Exchange or Market	

- (d) Industrial or building minerals 0.05 of a currency point per tonne
16. Annual fees for Goldsmith's licence 50
17. Annual mineral rents.

The following annual mineral rents shall be paid to the Commissioner by an applicant for, or the holder of, a mineral right other than prospecting licence -

- (a) by the holder of an exploration or retention licence, for every square kilometre (1 km²), or part of every square kilometre, half (0.5) of a currency point per annum;
- (b) by the holder of a Location Licence, ten (10) currency points per annum;
- (c) by the holder of Location License class VII, one quarter (0.25) of a currency point per annum;
- (d) by the holder of a mining lease, half (0.5) of a currency point per annum per hectare or part of hectare.
18. The following royalties shall be paid by holders of mineral rights or mineral dealers—
- (a) on precious metals, three per centum of the gross value;
- (b) on precious stones, five per centum of the gross value;
- (c) on base metals and ores, three per centum of the gross value;
- (d) on coal, three thousand shillings per tonne;
- (e) on peat, five hundred shillings per tonne;
- (f) on kaolin, limestone, chalk, gypsum or vermiculite, three thousand shillings per tonne;
- (g) on marble, granite and other dimension stones, three thousand shillings per tonne.
- (h) on pozzolanic materials, five hundred shillings per tonne.
- (i) on phosphates, three thousand shillings per tonne.
- (j) on salt, five hundred shillings per tonne.

HON. SYDA N. M. BBUMBA,

Minister of Energy and Mineral Development.